

Form ADV Part 2B – Brochure Supplement



For John G. Steinke

Managing Principal and Chief Compliance Officer

February 18, 2022

This Brochure Supplement provides information about the background and qualifications of John G. Steinke in addition to the information contained in the GS Investments, Inc. (“GSI” or the “Advisor” - CRD #107782) Form ADV Part 2 (“Brochure”). If you have not received a copy of the Brochure or if you any questions about the contents of the GSI Brochure or this Brochure Supplement, please contact John G. Steinke at (612) 371-0590 or by email at john@gsinvestments.com.

Additional information about Mr. Steinke is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

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Item 1 – Summary

Education and Business Standards

GS Investments requires that its employees have a bachelor's degree or acceptable work experience that demonstrates an aptitude for investment management or the development of financial strategies.

Professional Degrees, Designations or Certifications

GS Investments does not require that employees earn additional certifications and credentials. The firm does however, support and encourage its employees to seek additional educational opportunities.

Item 2 – Educational Background and Business Experience

Managing Principal, Chief Compliance Officer and Portfolio Manager, John G. Steinke. Mr. Steinke is responsible for the management of personal taxable accounts, IRA accounts, 401(K) and 403(B) plans, pension and profit-sharing plans, foundation and endowment accounts and personal trusts.

Mr. Steinke offers a broad financial services background with 27 years of portfolio management experience and 7 years of banking experience including capacities as a Vice President of a \$50 million bank and as a private banking officer for a large, Minneapolis-based financial institution.

Mr. Steinke earned a BA in Business Administration in 1984 from Concordia College in Moorhead, MN and an MBA in 1996 from the University of Minnesota. Additional information regarding Mr. Steinke's employment history is included below.

Managing Principal and Chief Compliance Officer – GS Investments, Inc.	6/93 to Present
Vice President – MidAmerica Bank South	2/91 to 4/93
Private Banking Officer – First Bank Duluth, NA	6/87 to 1/91

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Steinke. Mr. Steinke has never been involved in any regulatory, civil or criminal action. Additionally, there have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Steinke.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As

previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Steinke.

GS Investments does encourage its current and prospective clients to independently view the background of Mr. Steinke on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 4 – Other Business Activities

Disclosure on Outside Business Activities is provided in Form ADV Part 2A Item 10 – Other Financial Industry Activities and Affiliations above. These Outside Business Activities do not create a material conflict of interest with clients of the Firm.

Mr. Steinke is also involved with the following organizations:

Former President – Westwood Lutheran Memorial Foundation

Director – The Sacred Bach Oratorio

Member – Torske Klubben Luncheon Club

Item 5 – Additional Compensation

As disclosed in Form ADV Part 2A Item 5, Mr. Steinke receives no commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 6 – Supervision

Mr. Steinke serves as the Managing Principal and Chief Compliance Officer for GS Investments. Mr. Steinke can be reached at (612) 371-0590 or via email at john@gsinvestments.com.

GS Investments has implemented a Code of Ethics and internal compliance procedures helping each employee meet their fiduciary obligations to clients of the Firm. Further, GS Investments is required to register with the Securities and Exchange Commission (“SEC”). As a registered entity, the Firm is subject to examinations by regulators, which may be announced or unannounced. GS Investments is required to periodically update the information provided to these authorities and to provide various reports regarding the business activities and assets of the Firm.

John G. Steinke, President, Managing Principal and Chief Compliance Officer, supervises all persons within the Firm. Mr. Steinke supervises these persons by holding regular staff, investment and other ad hoc meetings. In addition, Mr. Steinke regularly reviews client reports, emails, and trading, as well as employees’ personal securities transaction and holdings reports. Mr. Steinke may be reached at 612-371-0590.

GS Investments Will Keep its Clients Informed

GS Investments will send its clients notice of the Firm's privacy policy annually for as long as the client is engaged with the Firm. Periodically, the Firm may revise its privacy policy, and will provide its clients with a revised policy if the changes materially alter the previous privacy policy. The Firm will not, however, revise its privacy policy to permit the sharing of non-public, personal information other than as described in this notice unless the Firm first notifies its clients and provides them with an opportunity to prevent the information sharing. Clients may obtain a copy of the Firm's current privacy policy by contacting us at (612) 371-0590 or via email at john@gsinvestments.com.